



1FW 2813

PTO/SB/21 (08-00)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/939,767
	Filing Date	August 28, 2001
	First Named Inventor	Shunpei YAMAZAKI
	Group Art Unit	2813
	Examiner Name	D. Hogans
Total Number of Pages in This Submission	Attorney Docket Number	0756-2358

ENCLOSURES (check all that apply)		
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Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.

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Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
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Attorney Docket No. 0756-2358

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI

Serial No. 09/939,767

Filed: August 28, 2001

For: SEMICONDUCTOR DEVICE AND
METHOD OF FABRICATING SAME

) Group Art Unit: 2813

) Examiner: D. Hogans

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Adam M. Stampen

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Except as provided below, in accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The references were cited against counterpart Japanese Application No. 09-168021 on February 7, 2006.

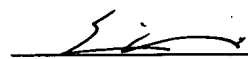
U.S. Patent Nos. 6,251,712; 6,518,102; and 6,855,580 are in the family of JP 08-330602. These U.S. patents were not directed cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

U.S. Patent Nos. 5,966,594; 6,210,997; and 6,465,284 and U.S. Patent Publication No. 2001/0019860 are in the family of JP 07-066426. These U.S. patents and publication were not directly cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

U.S. Patent Nos. 5,773,846; 6,060,725; 6,261,875; 6,541,313; and 6,939,749 and U.S. Patent Publication Nos. 2002/0048894 and 2003/0162337 are in the family of JP 06-267978. These U.S. patents and publications were not directly cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

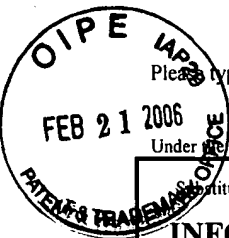
U.S. Patent No. 6,830,617 and U.S. Patent Publication No. 2005/0037554 are in the family of JP 09-045616. This U.S. patent and publication were not directly cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

Respectfully submitted,



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Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/939,767
				Filing Date	August 28, 2001
				First Named Inventor	Shunpei YAMAZAKI
				Group Art Unit	D. Hogans
				Examiner Name	2813
Sheet	1	of	1	Attorney Docket Number	0756-2358

U.S. PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,251,712		Tanaka et al.	06/26/2001	
		6,518,102		Tanaka et al.	02/11/2003	
		6,855,580		Tanaka et al.	02/15/2005	
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		6,465,284		Adachi et al.	10/15/2002	
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		5,773,846		Zhang et al.	06/30/1998	
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		6,261,875		Zhang et al.	07/17/2001	
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		6,830,617		Ohtani et al.	12/14/2004	
		2005/0037554		Ohtani et al.	02/17/2005	

FOREIGN PATENT DOCUMENTS								
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ³ (if known)				
		JP	08-330602			12/13/1996		Abst.
		JP	07-066426			03/10/1995		Abst.
		JP	06-267978			09/22/1994		Abst.
		JP	09-045616			02/14/1997		Abst.
		JP	05-109737			04/30/1993		Full
		JP	07-202209			08/04/1995		Abst.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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